



12/12
JFL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|--------------|-----------------------------------|---|-----------------|
| Applicant: | Guo et al. |) | |
| | |) | Group Art Unit: |
| Serial No.: | 10/063,292 |) | |
| | |) | Examiner: |
| Filing Date: | 4/09/2002 |) | |
| | |) | |
| For: | THERMOSET |) | |
| | COMPOSITION, METHOD,) AND ARTICLE |) | |

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By: J. Michael Buchanan
J. Michael Buchanan
Registration No. 44,571

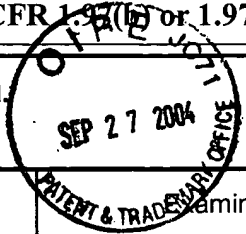
Date: September 24, 2004
Customer No. 23413
(860) 286-2929

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
08CN8786-6

In Re Application Of: Merfeld et al.



| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|-------------|--------------------|--------------|----------------|------------------|
| 10/063,292 | 4/09/02 | Roberston, Jeffrey | 23413 | 1712 | 4883 |

Title:

THERMOSET COMPOSITION, METHOD, AND ARTICLE

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
08CN8786-6

In Re Application: Merfeld et al.

| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|-------------|--------------------|--------------|----------------|------------------|
| 10/063,292 | 4/09/02 | Roberston, Jeffrey | 23413 | 1712 | 4883 |

Title:

THERMOSET COMPOSITION, METHOD, AND ARTICLE

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 07-0862 as described below.
- ☐ Charge the amount of _____
 - ☒ Credit any overpayment.
 - ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" 9/24/2004

(Date)

Rebecca L. Blake
Signature of Person Mailing Correspondence

Rebecca L. Blake

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

J. Michael Buchanan
Signature

Dated: September 24, 2004

J. Michael Buchanan
Registration No. 44,571
Customer No. 23413
Telephone No. (860) 286-2929

CC:

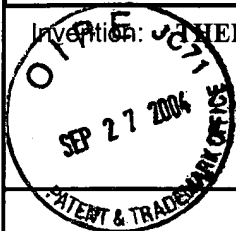
**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
08CN8786-6

In Re Application Of: **Merfeld et al.**

| | | | | | |
|--------------------------------------|----------------------------------|---------------------------------------|------------------------------|-------------------------------|---------------------------------|
| Application No. 10/063,292 | Filing Date 04/09/2002 | Examiner Robertson, Jeffrey | Customer No. 23413 | Group Art Unit 1712 | Confirmation No. 4883 |
|--------------------------------------|----------------------------------|---------------------------------------|------------------------------|-------------------------------|---------------------------------|

Invention: **THERMOSET COMPOSITION, METHOD AND ARTICLE**



COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

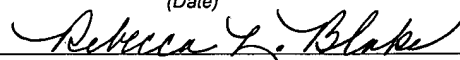
J. Michael Buchanan
Registration No. 44,571
Customer No. 23413
Telephone No. 860-286-2929

Dated: **September 24, 2004**

Certificate of Mailing by First Class Mail

I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on 9/24/2004.

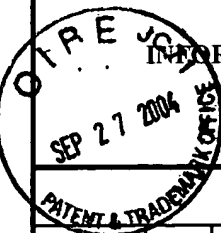
(Date)


Signature of Person Mailing Correspondence

Rebecca L. Blake

Typed or Printed Name of Person Mailing Correspondence

CC:



| | | |
|--|---|---|
| INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> | Docket Number (Optional) 08CN8786-6 | Application Number 10/063,292 |
| | Applicant(s) Merfeld et al. | |
| | Filing Date 04/09/2002 | Group Art Unit |

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----|--------------------|-----------|--------------|-------|----------|-------------------------------|
| | | US 2004/0146692 A1 | 7/29/2004 | Inoue et al. | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

U.S. PATENT APPLICATION PUBLICATIONS

| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----|-----------------|------|------|-------|----------|-------------------------------|
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

FOREIGN PATENT DOCUMENTS

| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
|--|-----|-----------------|------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

| | | |
|--|--|--|
| | | |
| | | |

| | |
|-----------------|------------------------|
| EXAMINER | DATE CONSIDERED |
|-----------------|------------------------|

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.